



#6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED PCT/PTO 09 FEB 2001

In re Application of:
Richard Poulin et al.

Serial No.: 09/529,319

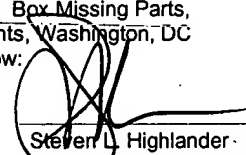
Filed: April 11, 2000

For: POLYAMINE TRANSPORT INHIBITORS

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: ILEX:040US/SLH

CERTIFICATE OF MAILING 37 C.F.R 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: <u>Box Missing Parts, Commissioner for Patents, Washington, DC 20231</u> , on the date below:	
February 5, 2001	
Date	

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated November 3, 2000, there are enclosed herewith:

- (a) Declaration executed on behalf of Richard Poulin, Marie Audette and Rene Charest-Gaudrealt;
- (b) A Power of Attorney on behalf of Université Laval;
- (c) A Declaration Claiming Small Entity Status executed on behalf of Université Laval;

- (d) Our check in the amount of \$260.00 to cover the surcharge for late filing (\$65.00) and two month extension of time fee (\$195.00);
- (e) A copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US); and
- (f) A Request for Extension of Time of two months to and including February 5, 2001. Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Université Laval and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10018674/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Steven L. Highlander
Reg. No. 37,642
Attorney for Applicants

02/13/2001 MNGUYEN 00000048 09529319

01 FC:254

65.00 OP

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: February 5, 2001

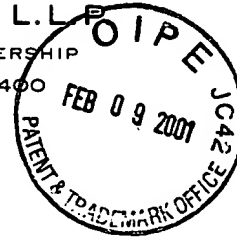
PCT#

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A REGISTERED LIMITED LIABILITY PARTNERSHIP

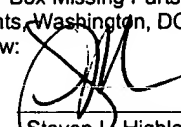
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February 5, 2001

FILE: ILEX:040US

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
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February 5, 2001	
Date	
Steven L. Highlander	

BOX MISSING PARTSCommissioner for Patents
Washington, DC 20231

RE: SN 09/529,319 "POLYAMINE TRANSPORT INHIBITORS" - Richard Poulin et al.

Sir:

Please find enclosed:

- (1) A Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed November 3, 2000;
- (2) Declaration executed by Richard Poulin, Marie Audette and Rene Charest-Gaudreault;
- (3) Small Entity Declaration;
- (4) Power of Attorney;
- (5) Request for Extension of Time;
- (6) Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US);
- (7) Check in the amount of \$260.00; and
- (8) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

Commissioner for Patents
February 5, 2001
Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10018674/SLH.

Respectfully submitted,

A handwritten signature in black ink, appearing to be "SLH", is written over a circular stamp or seal.

Steven L. Highlander
Reg. No. 37,642

SLH/mar

Encl: as noted



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231



U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/529319	POULIN	R
STEVEN L. HIGHLANDER FULBRIGHT & JAWORSKI 600 CONGRESS AVENUE SUITE 1900 AUSTIN, TX 78701		ILEX:040/019
INTERNATIONAL APPLICATION NO.		
PCT/US98/07806		
I.A. FILING DATE		PRIORITY DATE
21 APR 98		
DATE MAILED: 03 NOV 2000		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☒ a Designated Office (37 CFR 1.494),
- ☐ an Elected Office (37 CFR 1.495);
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
 - ☐ a non-English language.
 - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed APRIL 11, 2000 and _____.
- ☐ Information Disclosure Statement(s) filed _____ and _____.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed _____.
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☒ Other: PETITION TO REVIVIE

RECEIVED
Date(s) Docketed: <u>12-3-00</u>
<u>Response to Notice</u>
<u>of Missing Requirements</u>
<u>E-3-01 Final Deadline</u>
NOV 09 2000
Client: <u>ILEX: 040US</u>
Attorney(s): <u>HYL-PPG</u>
Initials: <u>CLC</u>

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR: 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed:
- ☐ PCT/DO/EO/917
 - ☐ PTO-875
 - ☐ Notice of Defective Translation
- FORM PCT/DO/EO/905 (December 1997)

Barbara A. Campbell
Telephone: 703-305-3631